

Amplifying Global FX Capital

Financial Services Guide

This Financial Services Guide has been prepared by Amplifying Global FX Capital Pty Ltd (“AmpGFX,” “we,” “us,” “our”) to assist you in deciding whether to use any of the financial services offered by us.

It provides information about:

- Who we are
- The financial services we provide
- How we are remunerated
- Potential conflicts of interest
- How we deal with complaints

If you require further information or clarification, don’t hesitate to contact us.

Business Description

Amplifying Global FX Capital Pty Ltd provides general advice on global financial markets focusing on foreign exchange to wholesale clients. AmpGFX was incorporated in 2015 and is fully owned and managed by Greg Gibbs.

Greg Gibbs has had extensive experience working in foreign exchange, fixed income and derivative markets at the Reserve Bank of Australia and several global investment banks (from 1989 to 2015). From 2001, Greg wrote macroeconomic driven FX research for clients of the banks he worked for and presented at regular small client briefings and larger client seminars in senior FX strategist roles.

We conduct macro strategy research on foreign exchange in major economies and the Asia Pacific region. We produce regular reports, preview and review events on chat applications, and deliver presentations.

AmpGFX also actively manages its own capital for profit, trading mainly in FX but also in interest rate, government bond and commodity futures.

Financial Services License

Our financial services are provided by our company, Amplifying Global FX Capital Pty Ltd, incorporated in Australia. Company details:

- ACN: 605 249 134
- ABN: 81 605 249 134
- Registration date: 13 April 2015
- Registered Office Address: 699 Captains Flat Road, Carwoola NSW 2620
- Regulator: Australian Securities & Investment Commission (ASIC)

Our Company, Amplifying Global FX Capital Pty Ltd (ABN: 81 605 249 134) is licensed as an Australian Financial Services Licensee (AFSL) pursuant to section 913B of the *Corporations Act 2001*.

This license authorises AmpGFX to carry on a financial services business to:

- (a) provide general financial product advice only, for the following classes of financial products:
 - (i) deposit and payment products limited to:
 - (A) basic deposit products;
 - (B) deposit products other than basic deposit products;
 - (ii) derivatives;
 - (iii) foreign exchange contracts; and
 - (iv) debentures, stocks or bonds issued or proposed to be issued by a government; and
- (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) derivatives; and
 - (B) foreign exchange contracts;

to wholesale clients.

Our Office Structure and Operations

Australia office:

699 Captains Flat Rd
Carwoola, NSW 2620
Phone number: +61 417 269 464

USA Office:

342 West Douglas Rd
Fort Collins, Colorado 80524
Phone number: +1 970 409 2877

Greg Gibbs is the owner, founder and director of our company. He is the sole author of the research reports provided by our company and the portfolio manager of our capital.

Robert Gibbs is a director of the company. He is a business manager.

Our Financial Services

We produce a report on global financial markets emphasising foreign exchange for major economies and the Asia Pacific region two to three times per week. These draw on the analysis of Greg Gibbs and inform the capital management he undertakes on behalf of our company. These reports provide only general advice and do not constitute personal investment advice (please see our “important notice on general advice” below).

These reports are provided only to our wholesale customers and are only intended for wholesale clients.

We engage in online chat groups with our wholesale customers to preview and review how events may affect financial markets.

We are available to produce ad-hoc reports and presentations for wholesale customers to help them manage foreign exchange risk.

Important Notice on General Advice

The information and general advice we provide or which is provided on our behalf, including in our written reports, or any information on our website, does not constitute personal investment advice. It has been prepared without taking into account your particular objectives, financial situation or needs.

Trading in foreign exchange, financial securities and derivatives can involve substantial risk. You should, before acting upon any of the information or advice provided by us, consider the appropriateness of the

advice in light of your own objectives, financial situation or needs. You should consult your personal financial adviser before making any investment decision. If you do not have a personal financial adviser, you should contact a financial adviser or stockbroker who is licensed to provide you with personal financial product advice. Your financial adviser will provide you with a Statement of Advice (SoA).

If you are seeking to acquire a specific financial product or security, you should obtain a copy of and consider the Product Disclosure Statement (“PDS”) or Prospectus for that product before making any decision.

How Does AmpGFX Earn Revenue

We are paid on a contract basis per month to provide a stream of reports at a rate of around two to three per week and provide commentary and feedback over online chat applications.

We are paid on a contract basis to produce ad-hoc reports and presentations.

Our company also trades its capital intending to make profits.

Greg Gibbs is the sole equity owner of AmpGFX, founder, and is also the sole financial analyst and portfolio manager. He does not receive a salary and is remunerated by a share of profits. Other employees receive a salary.

Managing Conflicts of Interest

AmpGFX is 100% owned by our representatives. All representatives are required to serve the best interests of our customers without consideration of any commercial or personal interests.

We require all authors of reports distributed by our company to only express views that accurately reflect the authors’ personal opinions about the currencies and other financial securities and derivatives referenced and any other subject matter discussed.

We require all authors to conduct substantial analysis and use reputable sources of information and data. The sources must not be non-public.

We require all authors not to take any payment or be commissioned to write a report where we are asked to come to a specific conclusion.

The authors of our reports are actively trading for their own benefit. We require the opinions expressed in their reports to be consistent with their trading strategy. This does not mean that opinions expressed must always suggest that an existing open trade is projected to be profitable. Opinions may express a range of constantly changing risks, and pre-existing trading strategies may have been established under previous conditions that have changed.

Authors may not express opinions designed to influence the market to move in a way that is beneficial to trades they hold or would like to hold. They must not attempt to manipulate market direction to benefit themselves or anyone else.

Nevertheless, frequently opinions will be expressed that are aligned with the authors' open trades since authors are setting trades consistent with their opinions. And these trades are expected to be the same as those they are recommending to clients.

We have established policy procedures for our company which set out limitations on trading activity within our company and personal accounts. These include maintaining registers on personal trading and exposure to Material Non-Public Information.

Complaints process

We try to adhere to the highest standards of service and conduct at all times. Nevertheless, we understand there may be times when you would like to make a complaint about our services. If you have a complaint about the service provided to you, please take one of the following steps:

Contact our customer service officer via email at:
greg.gibbs@ampGFXcapital.com

We will try to resolve the complaint as soon as possible, but it will be resolved within 45 days, or it will be referred to the Australian Financial Complaints Authority (AFCA), a dispute resolution scheme which is authorised to deal with complaints in the financial and investment industry.

You may also contact AFCA to deal with complaints. Amplifying Global FX Capital is a member of AFCA (Membership Number: 46273). AFCA will facilitate discussions and negotiations between the parties to help reach an agreement and provide an independent adjudicator if necessary. There is no fee for this service. The complaints resolution procedure is found on its website: AFCA.org.au.